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EV 463 356 077 US

Serial No.

10/812,726

Applicant(s)

Kayvan Najarian et al.

Filing Date

March 30, 2004

Title

METHODS AND SYSTEMS FOR GENE

EXPRESSION ARRAY ANALYSIS

Examiner

Zhou, Shubo

Group Art Unit

1631

Type of Document(s)

Express Mail Certificate;

Transmittal Form (PTO/SB/21);

Supplemental Information Disclosure Statement

(in duplicate); PTO/SB/08A; 1 Reference; and Return Postcard

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and are addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date Mailed: October 19, 2004

e K. Cooke (signature)

Debbie K Cooke

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Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE t of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Tin the state of t	No.		Application Number	10/812,726		
TRANSMITTAL			Filing Date	March 30, 2004		
FORM						
(to be used for all correspondence after initial filing)		First Named Inventor	Kayvan Najarian et al.			
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			Examiner Name	Zhou, Shubo		
Total Number of Pages	s in This Submission		Attorney Docket Number	46872-293662		
		ENCLO	SURES (check all that apply)			
Fee Transmittal F	orm	☐ Drawin	ng(s)	After Allowance Communication to Technology Center (TC)		
Fee Attached		Licensi	ing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Rep	oly	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application		Proprietary Information		
Powe			of Attorney, Revocation e of Correspondence Address	Status Letter		
Extension of Time Request		☐ Termin	nal Disclaimer	Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund CD, Number of CD(s)		See Remarks		
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Certified Copy of Priority Document(s)		Rema	rks			
Response to Miss Incomplete Applic		Express Mail Certificate No. EV 463 356 077 US; Supplemental Disclosure Statement (in duplicate);				
Response to initiating			PTO/SB/08A;			
Tario and or or or t			1 Reference; and Return Postcard			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individual name	Firm or Cynthia B. Rothschild, Esq., Reg. No. 47,040, Kilpatrick Stockton LLP					
Signature						
Date	Date 10 - 19 - 2004					
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Signature

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Kayvan Najarian et al.

Serial No

10/812,726

Filed For

March 30, 2004

Methods and Systems for Gene Expression Array Analysis

Examiner

Zhou, Shubo

Art Unit

1631

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants submit herewith on Form PTO/SB/08A a listing of one document known to the Applicants and/or their attorney. Applicants respectfully request consideration of the cited document and making the same of record in the prosecution of the above-identified application. In so doing, Applicants do not waive any rights to appropriate action to establish patentability over the listed document should it be applied as reference against the claims of the present application, or to establish that such reference is not prior art. A copy of the non-patent literature is enclosed and labeled for identification purposes.

This statement should not be construed as a representation that more material information does not exist or that a search of the relevant art has been made. It is respectfully requested that the reference listed on the attached form PTO/SB/08A be expressly considered by the Examiner, made of record in the application, and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is being submitted prior to the mailing of a first substantive Office Action in this application, and, therefore, no certification or fee is required (37 C.F.R. § 1.97b(3)). However, should any fees be due, the Commissioner is authorized to charge such fees to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Respectfully submitted,

Date: _____10-19. 2004

Cynthia B. Rothschild Reg. No. 47,040

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WINLIB01:1100141.1 46872-293662

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PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/P OF TRANSPURSY SUPPLEMENTAL **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

Sheet

(Use as many sheets as necessary)

of

Complete it Known				
Application Number	10/812,726			
Filing Date	March 30, 2004			
First Named Inventor	Kayvan Najarian et al.			
Art Unit	1631			
Examiner Name	Zhou, Shubo			
Attorney Docket Number	46872-293662			

U.S. PATENT DOCUMENTS					
Examiner Cite Initials * No.	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
	Number - Kind Code ² (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear	
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		US-			

FOREIGN PATENT DOCUMENTS						
	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)				T⁰

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	1	JOLLIFFE, I. T, Principal Component Analysis, New York: Springer-Verlag, 1986		

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.